

# Some results about Möbius functions for a finite non-solvable group

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The Möbius function associated with a finite, partially-ordered set  $\chi$  (poset)  $\mu_\chi$  is the map  $\mu_\chi : \chi \times \chi \rightarrow \mathbb{Z}$  such that :  $\mu_\chi(a, b) = 0$  unless  $a \leq b$  when it is defined recursively by the equations

$$\mu_\chi(a, a) = 1$$

$$\sum_{a \leq c \leq b} \mu_\chi(a, c) = 0, \quad a < b.$$

**Generalization** of the classical Möbius function of the number theory:

$\mu(n) = (-1)^r$  if  $n$  is the product of  $r$  distinct primes

$\mu(n) = 0$  if  $n$  is divisible by the square of a prime.

## Two Möbius functions related to a group $G$

If  $G$  is a (finite) group, we define **two Möbius functions related to  $G$ , denoted by  $\mu_G$  and  $\lambda_G$** . Namely,

1. if  $\mathcal{L}(G)$  is the subgroup lattice of  $G$ , the Möbius function  $\mu$  of  $G$  is defined as

$$\mu : \mathcal{L}(G) \rightarrow \mathbb{Z}, H \mapsto \mu_G(H, G) (= \mu(H));$$

2. if  $\mathcal{C}(G)$  is the poset of conjugacy classes of subgroups of  $G$ , with the order relation:

$$[H] \leq [K] \text{ if and only if } H \leq K^g \text{ for some } g \leq G,$$

its Möbius function is defined by

$$\lambda : \mathcal{C}(G) \rightarrow \mathbb{Z}, [H] \mapsto \mu_{\mathcal{C}}([H], [G]) = \lambda(H)$$

$\mu$  is the classical Möbius function, that is:

$$\mu(G) = 1, \quad \sum_{H \leq K} \mu(K) = 0, \text{ for } H < G$$

In analogous way we define  $\lambda$ .

**Example** If  $G$  is the cyclic infinite group,  $\mu_G$  is the number theoretic Möbius function: if  $G_n$  is the subgroup of  $G$  of index  $n$ ,  $\mu_G(G_n) = \mu(n)$

**Does a relation between  $\mu_G$  and  $\lambda_G$  exist?**

**Answer: sometimes**

**We go back to 1989:** T. Hawkes, M. Isaacs, and M. Özaydin, in the beautiful paper *On the Möbius function of a finite group*, showed that

$$\mu(\{1\}) = |G'| \cdot \lambda(\{1\})$$

holds for **any finite solvable group**  $G$ ,

More generally in **1993**, H. Pahlings ( *On the Möbius function of a finite group*), proved that the relation

$$\mu(H) = [N_{G'}(H) : G' \cap H] \cdot \lambda(H) \quad (1)$$

is true for any  $H \leq G$  whenever  $G$  is **finite and solvable**.

We say that  $G$  **satisfies the  $(\mu, \lambda)$ -property** if (1) holds for any  $H \leq G$ .

The  $(\mu, \lambda)$ -property **does not hold** for every finite group.

Not true for the Mathieu group  $M_{12}$  (1990 M. Bianchi, A. Gillio and A. Verardi, *On Hawkes-Isaacs-Özaydin's conjecture* and for the unitary groups  $PSU(3, 2^{2^n})$  (2019 G. Zini, *The Möbius function of  $PSU(3, 2^{2^n})$* )

Here, we present some attempt to study a possible relation between  $\mu$  and  $\lambda$  in case of non-solvable groups

First, we suggest some reasons to justify our interest in studying  $\mu$  and  $\lambda$  and the relation between them.

### 1. Generation of groups and probability of generating a finite group (P. Hall(1936):

if we denote by  $\sigma_n(G)$  the number of ordered  $n$ -tuples of elements of  $G$  and by  $\phi_n(H)$  the number of ordered  $n$ -tuples of elements of  $G$  which generate  $H \leq G$  respectively, we get

$$\sigma_n(G) = \sum_{H:H \leq G} \phi_n(H) \text{ and, by Möbius inversion formula}$$

$$\phi_n(G) = \sum_{H,H \leq G} \sigma_n(H) \mu(H) = \sum_{H,H \leq G} |H|^n \mu(H)$$

It follows that the probability that  $n$  elements of  $G$ , ( $G$  finite) generate  $G$ ,  $Prob_G(n)$  is given by:

$$Prob_G(n) = \frac{\phi_n(G)}{|G|^n} = \sum_{H \leq G} \frac{\mu_G(H)}{[G:H]^n}$$

## 2. A complex function (Boston, Mann 1996):

$$P_G(s) = \sum_{n \in \mathbb{N}} \frac{a_n(G)}{n^s}, \quad s \in \mathbb{C},$$

where

$$a_n(G) = \sum_{H \leq G, [G:H]=n} \mu_G(H)$$

$$P_G(t) = \text{Prob}_G(t) \quad \text{for } t \in \mathbb{N}$$

In case of profinite groups, the sum becomes:

$$P_G(s) = \sum_{H \leq_o G} \frac{\mu_G(H)}{|G:H|^s}$$

where  $H$  ranges over all open subgroups of  $G$ .



Mann conjectured that this sum is **absolutely convergent** in "some" half complex plane, whenever the finitely generated profinite group is *positively generated* that is, for some  $k$ , the probability that  $k$  random elements generate  $G$ , is positive. This conjecture is implied by the following 2 facts:

1. the number  $|\mu_G(H)|$  is bounded by a polynomial function in  $[G : H]$  ;
2. the number  $b_n(G)$  of subgroups  $H$  of index  $n$ , with  $Hsoc(G) = G$ , satisfying  $\mu_G(H) \neq 0$ , grows at most polynomially in  $n$ .

Lucchini (2010): Actually 1 and 2 are true, if they are verified for almost finite simple groups.

1 and 2 were proved in the case of alternating and symmetric groups (Lucchini-Colombo- 2010);

Not very much is known on the exact values of  $\mu(H)$  when  $G$  is a simple group; up to our knowledge, the only infinite families of non-abelian simple groups for which the Möbius function is completely known ( and these families verify Mann's conjecture) are the following.

- The groups  $PSL(2, q)$  and  $PGL(2, q)$  for any prime power  $q$  (Downs 1991, Hall 1936)
- The Suzuki groups  $Sz(q)$  for any odd power  $q$  of 2 (Down, Jones, 2016);
- The Ree groups  $Ree(q)$  for any odd power  $q$  of 3 (Pierro, 2016);
- The 3-dimensional unitary groups  $PSU(3, 2^{2^n})$ ,  $n > 0$  (Zini 2018);
- The groups  $PSL(3, 2^p)$  (Borello, D.V., Zini )

## $(\mu, \lambda)$ -property for some families of non-solvable groups (D.V., Zini)

Before considering some particular classes of groups which satisfy  $(\mu, \lambda)$ -property, we give some "general fact":

**1:** It is important the knowledge of subgroups which are intersection of maximal subgroups.

Denote by  $\text{MaxInt}(G)$  the set whose elements are  $G$  and the subgroups of  $G$  which are the intersection of maximal subgroups of  $G$ .

**Lemma 1.** (Hall 1936) Let  $H \leq G$  be such that  $\mu(H) \neq 0$ . Then  $H \in \text{MaxInt}(G)$ .

The same is true for  $\lambda$  (note that  $\mathcal{C}$  is not in general a lattice)

**Lemma 2.** *Let  $H \leq G$  be such that  $\lambda(H) \neq 0$ . Then  $H \in \text{MaxInt}(G)$ .*

**Proof** We go by induction on  $[G : H]$ ; The result is true for  $H = G$ ; so, we consider  $H < G$  and take  $K \in \text{MaxInt}(G)$  is the intersection of all maximal subgroups containing  $H$ . If  $H$  is not in  $\text{MaxInt}(G)$ ,  $H < K$ . Take  $N \leq G$ ,  $H < N$  and  $\lambda(N) \neq 0$ . By induction hypothesis,  $N \in \text{MaxInt}(G)$  and  $K \leq N$ . By definition of  $\lambda$ ,

$$\lambda(H) = - \sum_{[H] < [N] \leq [G], \lambda(N) \neq 0} \lambda(N) = - \sum_{[K] \leq [N] \leq [G]} \lambda(N) = 0.$$

we get  $\lambda(H) = 0$ . A contradiction.

**It follows:** If  $H \leq G$  and  $\Phi(G) \not\leq H$ , then  $\mu(H) = \lambda(H) = 0$

**1. Products and Extensions -  $(\mu, \lambda)$ -property** for direct products of a finite number of finite groups and for finite extensions of a finite group.

**Theorem 3.** *Let  $G = \prod_{i=1}^n G_i$  be a direct product of groups  $\{G_i\}$  such that every maximal subgroup  $M$  of  $G$  splits as a direct product  $M = \prod_{i=1}^n M_i$ , with  $M_i \leq G_i$  for every  $i$ . If  $G_1, \dots, G_n$  satisfy the  $(\mu, \lambda)$ -property, then  $G$  satisfies the  $(\mu, \lambda)$ -property.*

Theorem follows from:

**Proposition 4.** *Let  $n \geq 2$  and  $G = \prod_{i=1}^n G_i$  be a direct product of groups  $\{G_i\}$  such that every maximal subgroup  $M$  of  $G$  splits as a direct product  $M = \prod_{i=1}^n M_i$ , with  $M_i \leq G_i$  for every  $i$ . Let  $H = \prod_{i=1}^n H_i \leq G$  with  $H_i \leq G_i$  for every  $i$ . Then*

$$\mu_G(H) = \prod_{i=1}^n \mu_{G_i}(H_i), \quad \lambda_G(H) = \prod_{i=1}^n \lambda_{G_i}(H_i).$$

**Proof** From the assumptions it follows immediately that, if  $K \in \text{MaxInt}(G)$ , then  $K = \prod_{i=1}^n K_i$  with  $K_i \leq G_i$  for every  $i$ .

Hence, we only consider the groups  $H < \prod_{i=1}^n K_i \leq G$  with  $K_i \leq G_i$

for every  $i$ .

Let  $I \subseteq \{1, \dots, n\}$  be such that  $H_i \neq G_i$  for  $i \in I$ , and  $H_i = G_i$  for  $i \in \{1, \dots, n\} \setminus I$ .

We have so that the subposet of  $\mathcal{L}$  made by the groups  $K = \prod_{i=1}^n K_i$  satisfying  $H \leq K \leq G$  is isomorphic to the subgroup poset

of groups  $K = \prod_{i \in I} K_i$  satisfying  $\prod_{i \in I} H_i \leq K \leq \prod_{i \in I} G_i$ .

An analogous poset isomorphism holds for the posets of conjugacy classes.

Hence,  $\mu_G(H) = \mu_{\prod_{i \in I} G_i}(\prod_{i \in I} H_i)$  and  $\lambda_G(H) = \lambda_{\prod_{i \in I} G_i}(\prod_{i \in I} H_i)$ .

Then we can assume that  $H_i \neq G_i$  for all  $i = 1, \dots, n$  and we use induction on  $[G : H]$ .



We began considering some particular classes (**actually very small!**)

*minimal non-solvable group*: a non-solvable group whose proper subgroups are all solvable.

A minimal non-solvable group which is simple is a *minimal simple* and minimal simple groups are exactly the Frattini-free minimal non-solvable groups, as, if  $G$  is a minimal non-solvable group and  $\Phi(G)$  is its Frattini subgroup, then  $G/\Phi(G)$  is minimal simple.

Remark: for simple groups,  $(\mu, \lambda)$ -**property** becomes:  
 $\mu(H) = [N_G(H) : H] \cdot \lambda(H)$ .

## 1. minimal simple groups

- $PSL(2, 2^r)$ , where  $r$  is a prime;
- $PSL(2, 3^r)$ , where  $r$  is an odd prime;
- $PSL(2, p)$ , where  $p > 3$  is a prime such that  $5 \mid (p^2 + 1)$ ;
- $Sz(2^r)$ , where  $r$  is an odd prime;
- $PSL(3, 3)$ .

For these groups, we get the thesis by direct computation

More generally (**but not so much**)

## 2. minimal non solvable groups

Here, we consider  $\Phi(G) \neq 1$  and we are left with  $H \leq G$ , s.t.  $\Phi(G) \leq H$ .

Using similar arguments to those of Pahlings, we consider  $\bar{G} = G/\Phi(G)$  and  $\bar{H} = H/\Phi(G)$ .

We get:

$$\mu(H, G) = \mu(\bar{H}, \bar{G}), \quad \lambda(H, G) = \lambda(\bar{H}, \bar{G}), \quad \text{and} \\ [N_{\bar{G}'}(\bar{H}) : \bar{H} \cap \bar{G}'] = [N_{G'}(H) : H \cap G'].$$

As  $\bar{G}$  is minimal simple, the thesis follows

As I said we are considering **very small** classes. Actually, our minimal non solvable groups are particular  $N$ -groups (groups

whose local subgroups are all solvable). These were classified by Thompson: they are almost simple groups  $G$   $S \leq G \leq \text{Aut}(S)$ , where  $S$  is one of the following simple groups:

- the linear group  $PSL(2, q)$ , for some prime power  $q \geq 4$ ;
- the Suzuki group  $Sz(2^r)$ , for some non-square power,  $r \geq 3$
- the linear group  $PSL(3, 3)$ ;
- the unitary group  $U_3(3)$ ;
- the alternating group  $A_7$ ;
- the Mathieu group  $M_{11}$ ;
- the Tits group  ${}^2F_4(2)'$ .

**Example** Let  $G = G_1 \times G_2$  where no non-trivial quotients of  $G_1$  and  $G_2$  are isomorphic. Then it is easily seen from Goursat's lemma that every maximal subgroup  $M$  of  $G$  splits as  $M = M_1 \times M_2$  with  $M_i \leq G_i$ ,  $i = 1, 2$ .

For instance, the Theorem applies to  $G = G_1 \times G_2$  where  $G_1$  is minimal non-solvable and  $G_2$  is solvable. An easy remark about extensions:

If a group  $G$  is a finite extension of a group  $\bar{G}$  which does not satisfy the  $(\mu, \lambda)$ -property, then  $G$  does not satisfy the  $(\mu, \lambda)$ -property.

**Remark 5.** Let  $G$  be a finite group,  $H$  be a subgroup of  $G$ ,  $\mathcal{S} = \{K \leq G : H \leq K\}$  be the subposet of the subgroup lattice of  $G$  made by the overgroups of  $H$ , and  $\bar{\mathcal{S}} = \{[K] \leq [G] : [H] \leq$

$[K]\}$  be the corresponding subposet of the conjugacy classes  $[K]$  with  $[H] \leq [K]$ . Suppose that, for every  $K \in \mathcal{S} \setminus \{G\}$ , we have  $N_{G'}(K) = G' \cap K$ . Then the  $(\mu, \lambda)$ -property for  $H$  holds if and only if  $\mu(H) = \lambda(H)$ , and hence, if and only if the posets  $\mathcal{S}$  and  $\bar{\mathcal{S}}$  are isomorphic. In the case  $S_4 \cong H \leq G = U_3(3)$ , we have that  $\mathcal{S} = \{H, M_1, M_2, M_3, G\} \not\cong \bar{\mathcal{S}} = \{[H], [M_1], [M_2] = [M_3], [G]\}$ , where  $M_i$  is a maximal subgroup of  $G$ . For every  $K \in \mathcal{S}$ ,  $K$  is self-normalizing in the simple group  $G$ . Thus,  $\mathcal{S} \not\cong \bar{\mathcal{S}}$  implies that the  $(\mu, \lambda)$ -property fails at  $H$ .

**Thank You**



## Some Bibliography

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